

PTO/SB/21 (08-03) Approved for use through 07/31/2006. OMB 0651-0031

TRANSMITTAL FORM (to be used for all correspondence after initial filing) Application Number 10/767,034 Filling Date January 28, 2004 First Named Inventor Kartik B. Ariyyur Group Art Unit 3661 Examiner Name Unknown Total Number of Pages in This Submission 12 Attorney Docket Number H0004975-3008 ENCLOSURES (check all that apply) Fee Transmittal Form Assignment Papers (for all Application) Application to Group Appeal Communication to Board of Appeal After Final Petition Petition Proprietary Information After Final Petition Proprietary Information Affidavits/declaration(s) Extension of Time Request Addresss After Milevance Communication to Board of Appeal Communication to Group (Appeal Molice, Brief, Reply Brief) Petition Provisional Application Provisional Application Change of Correspondence Addresss After Final Petition Convert to a Provisional Application Change of Correspondence Addresss Addresss Provisional Application Change of Correspondence Addresss Address Provisional Application Request Correspondence Statement Convert of Request Information Disclosure Statement Request Correspondence Addresss Provisional Application Response to Missing Parts Incomplete Republication Response to Missin		U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number							
First Named Inventor Kartik B. Ariyur Group Art Unit 3661 Examiner Name Unknown						Application Number			
First Named Inventor Ratink B. Anjur Group Art Unit Sa661 Examiner Name Unknown Unknown Unknown H0004975-3008	FORM					Filing Date		January 28, 2004	
Examiner Name		(to be used for all co	rrespondence after i	initial filing)		First Named Inventor		Kartik B. Ariyur	
Total Number of Pages in This Submission 12						Group Art Unit		3661	
Fee Transmittal Form						Examiner Name		Unknown	
Fee Transmittal Form		Total Number o	f Pages in This Sub	mission 1	2	Attorney Docket Numb	ber	H0004975-3008	
Fee Attached	<u> </u>			ENCLOSU	JRES	(check all that apply))		
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After Final Petition to Convert to a Provisional Application Prover of Attomey, Revocation Change of Correspondence Address Express Abandonment Request Information Disclosure Statement Request Certified Copy of Priority Document(s) Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm or Individual name Signature Date Certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. (Appeal Notice, Brief, Repty Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): 1 Page PTO/SB/08A; 6 Pages PCT International Search Report 2 Cited Reference copies Return Postcard 2 Cited Reference copies Return Postcard SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm or Individual name Signature CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.		Fee Attacl	hed					Appeal Communication to Board of	
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Information Disclosure Statement Request for Refund 2 Cited Reference copies Return Postcard Certified Copy of Priority Document(s) Response to Missing Parts/Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm S. Jared Pitts, Reg. No. 38,579 Individual name Signature Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Type or printed name S. Jared Ritts Signature Date Page of Refund 2 Cited Reference copies Return Postcard Redurn Postcard Redurn Postcard Redurn Postcard Redurn Postcard Redurn Postcard Return Postcard Redurn Postcard Return Postcar		Express Abandonment Request		<u></u>		al Disclaimer		· · ·	
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Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm or Individual name Signature Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Type or printed name S. Jared Pitts Date Date Date Date Date Date		Response to Miss	sing Parts/	Remarks			l		
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Signature CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Type or printed name S. Jared Ritts Date Date HAY 2005	or		Jared Pitts, Reg.	No. 38,579)	4			
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UTILITY PATENT APPLICATION



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Kartik B. Ariyur et al.

Group Art Unit: 3661

Serial No.:

10/767,034

Examiner: Unknown

Filed:

January 28, 2004

Atty Docket No.: H0004975-3008

For: TRENDING SYSTEM AND METHOD OF USING WINDOW FILTERING

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P O Box 1450 Alexandria, VA 22313-1450

Commissioner:

In accordance with 37 C.F.R. 1.56 and in a manner prescribed by 37 C.F.R. 1.97 and 1.98, this Information Disclosure Statement (IDS) is being submitted herewith for consideration by the United States Patent and Trademark Office.

I. CONTENT

This IDS includes:

- A list of all patents, publications, applications, or other information submitted for consideration by the Office on PTO/SB/08A Form, which is included herewith;
- If required, a legible copy of: (i) each foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) each cited pending U.S. application, the application specification including claims, and any drawing of the application, or that portion of the application which caused it to be listed including any claims directed to that portion; and (iv) all other information or that portion which caused it to be listed, unless subsequently provided in this IDS.

11.	CONC	CISE EXPLANATION OF THE RELEVAN	<u>CE</u> (check at least one box)			
		Except as may be subsequently indicate explanation is not required as all of the pat other information are in the English language each is indicated in the English language v Report, which accompanies this IDS.	ents, publications, applications or age, or the degree of relevance of			
		A concise explanation of the relevance, as individual designated in 1.56(a) most know the information, of all patents, public information listed that is not in the English	owledgeable about the content of ications, applications or other			
		A copy of the translation of a non-English thereof is enclosed with this IDS (e.g., a tra				
		Any patent, publication, pending applications listed on PTO/SB/08A Form, which as previously cited by or submitted to the applications that has been relied on for an 35 U.S.C 120 and the IDS submitted in the paragraphs (a) through (c) of 1.98:	re not enclosed herewith were Office in one of the following earlier effective filing date under			
		U.S. Application Serial No.	U.S. Filing Date			
			<u></u>			
III.	THIS	IDS IS BEING FILED UNDER 37 C.F.R. 1	.97(b): (check one box)			
		Within three months of the filing date of a continued prosecution application under 1.				
		Within three months of the date of entry o 1.491 in an international application.	f the national stage as set forth in			
		Before the mailing date of a first Office Action on the merits. In the event that a first Office Action on the merits has been mailed, please consider this IDS under 37 C.F.R. 1.97(c) and see the statement under 1.97(e) <u>or</u> charge deposit account <u>50-2091</u> the fee specified by 37 C.F.R. 1.17(p) if such statement is not selected in Section VI.				
		Before the mailing of a first Office Action after the filing of a request for continued examination under 1.114.				

IV.	1H18	IDS IS BEING FILED UNDER 37 C.F.R. 1.97(c):
		After the period specified in 37 C.F.R. 1.97(b) and before the mailing date of any of a final action under 1.113, a notice of allowance under 1.311 or an action that otherwise closes prosecution in the application, and accompanied by:
		a statement specified in 1.97(e) as subsequently provided in this IDS; or
		the fee set forth in 1.17(p).
V.	THIS	IDS IS BEING FILED UNDER 37 C.F.R. 1.97(d):
		After the period specified in 37 C.F.R. 1.97(c) and on or before the payment of the issue fee, and it is accompanied by a statement specified in 1.97(e) as subsequently provided in this IDS <u>and</u> the fee set forth in 1.17(p).
VI.		nent under 37 C.F.R. 1.97(e) (check only one box) ndersigned hereby states that:
		Each item of information contained in the IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS.
		No item of information contained in the IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the IDS was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this IDS.
VII.	Staten	nent under 37 C.F.R. §1.704(d)
		The undersigned hereby states that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty days prior to the filing of the information disclosure statement.
VIII.	PAYN	MENT OF FEES (check one box)
		A check in the amount of \$ is enclosed for fees due with respect to this IDS.
		Please charge Deposit Account No in the amount of \$ for the fees due with respect to this IDS. A duplicate copy of this paper is included with this IDS.

IX. CONCLUSION

It is respectfully submitted that the claims presently on file patently distinguish the present invention from each of these references cited in this IDS. The references cited in this IDS are being cited only in the interests of candor and without any admission that they constitute statutory prior art or contain matter which anticipates the invention or which would render the same obvious, either singly or in a combination, to a person of ordinary skill in the art. Furthermore the filing of this IDS should not be construed to be an admission that the information cited in this IDS is, or is considered to be, material to patentability as defined in 1.56(b). In addition, the filing of this IDS should not be construed as a representation that a search has been made.

If the Examiner has any questions concerning these IDS, the Examiner is requested to contact the undersigned at the telephone number associated with Customer No. 29,906. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule, with a petition if necessary, and charge the appropriate fee to Deposit Account No. 50-2091.

Please charge any additional fees or credit any overpayment related to this IDS to Deposit Account No. 50-2091.

Respectfully submitted,

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Reg. No. 38,579

Ingrassia Fisher & Lorenz Customer Number 29906

Date: 4 Av. 2005

Enclosures:	\boxtimes	PTO/SB/08A	
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	\boxtimes	Foreign Search Report	
		Fee	
		Other:	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known					
Application Number	10/767,034				
Filing Date	January 28, 2004				
First Named Inventor	Kartik B. Ariyur et al.				
Art Unit	3661				
Examiner Name	Unknown				
Attorney Docket Number	H004975-3008				

	U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
		US- 6,182,022	01/30/2001	Mayle Gary E et al				
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	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ – Number ⁴ – Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶	
		PCT International Search Report PCT/US2005/002435	07/06/2005				
		EP 0 971 321 A	01/12/2000	General Electric Company			
		WO 03/025512 A	03/27/2003	Nikitin, Alexi, V; Davidchak, Ruslan, L			
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Examiner	Date	
Signature	Considered	

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation in not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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